

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Faust et al.
 Assignee: International Business Machines Corp.
 Title: METHOD AND APPARATUS FOR DIAGNOSIS AND BEHAVIOR
 MODIFICATION OF AN EMBEDDED MICROCONTROLLER
 Serial No.: to be assigned Filing Date: on or about September
 ____, 2003
 Examiner: Group Art Unit:
 Docket No.: AUS920030353US1

Austin, Texas
 September 25, 2003

Mail Stop Patent Application
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

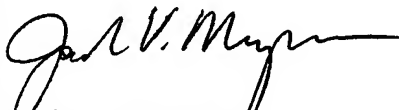
Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above-identified patent application. Copies of each of these documents are enclosed.

Citation of these documents shall not be construed as an admission that the documents are necessarily prior art with respect to the subject invention, a representation that a search has been made, or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September ____, 2003.	
_____ Attorney for Applicant(s)	_____ Date of Signature

Respectfully submitted,


 Jack V. Musgrove
 Attorney for Applicant(s)
 Reg. No. 31,986

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	to be assigned
Filing Date	
First Named Inventor	Upton et al
Art Unit	
Examiner Name	
Attorney Docket Number	AUS920030353US1

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,331,571	7-19-1994	Aronoff	
		US- 5,590,354	12-31-1996	Klapproth	
		US- 5,819,025	10-6-1998	Williams	
		US- 6,131,174	10-10-2000	Fischer	
		US- 6,151,657	11-21-2000	Sun	
		US- 6,163,824	12-19-2000	Quackenbush	
		US- 6,185,522	2-6-2001	Bakker	
		US- 6,185,523	2-6-2001	Itskin	
		US- 6,185,732	2-6-2001	Mann	
		US- 6,363,501	3-26-2002	Tobias	
		US- 6,490,638	12-3-2002	Ha	
		US- 6,493,788	12-10-2002	Sun	
		US- 6,522,985	2-18-2003	Swoboda	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 2001242225	9-20-2001	Aue		
		JP 2000148528	7-23-2002	Garreau		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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